

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 2380-122

C#/M#

WILLARS et al.

Group Art Unit: Unassigned

Serial No. NEW APPLICATION

Examiner: Unassigned

Filed: FILED HEREWITH

Title: INTER-SYSTEM HANDOVER – GENERIC
HANDOVER MECHANISMS

Date: April 6, 1999

Jc503 U.S. PTO



Honorable Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of the reference(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☒ This Information Disclosure Statement ("IDS") is being filed within three (3) months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

☐ This IDS is being filed more than three (3) months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. I hereby certify that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).

☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).

☐ c. Attached is our check no. _____ in the amount of \$230.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Dep. Acct. No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.

☐ This IDS is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the IDS be considered. Attached is our check in the amount of \$130.00 to cover payment of the petition fee under 37 C.F.R. 1.17(i)(1). Please credit or debit Deposit Account No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.

☐ a. I hereby certify that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).

☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).

☐ Relevance of the non-English language reference(s) is discussed in the present specification.

☐ The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

☐ A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix hereto.

☐ The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed _____, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

☐ Copies of the references were cited by or submitted to the Office in parent Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. 120. Thus, Form PTO 1449 is attached without copies of these references. 37 C.F.R. 1.98(d).

☒ Other. REFERENCES CITED DURING PRELIMINARY SEARCH

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By Atty: J. Scott Davidson, Reg. No. 33,489

Signature: _____

**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

2380-122**NEW APPLICATION**

APPLICANT

WILLARS et al.

(Use several sheets if necessary)

FILING DATE

GROUP

April 6, 1999**Unassigned****U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
76	5,873,036	02/1999	VUCETIC			
	5,870,427	02/1999	TIEDEMANN, JR. ET AL.			
	5,845,203	12/1998	LaDUE			
	5,771,275	06/1998	BRUNNER ET AL.			
	5,640,414	06/1997	BLAKENEY, II. ET AL.			
	5,425,029	06/1995	HLUCHYJ ET AL.			
	5,345,467	09/1994	LOMP ET AL.			
	5,276,907	01/1994	MEIDAN			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

Antipolis, S., "UE-UTRAN Radio Interface Protocol Architecture; Stage 2;" European Telecommunications Standards Institute, UMTS YY.01, V1.0.0, December 1998, pgs. 1-39.
Antipolis, S., "UMTS Terrestrial Radio Access Network (UTRAN); UTRA FDD; (UMTS XX.03 V1.3.1), European Telecommunications Standards Institute, February 1999, pgs. 1-23.

*Examiner

Date Considered

06/12/99

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.